

Name of the Company : UNIVERSAL ARTS LIMITED  
 Scrip Code, Name of the Scrip and Class of security  
 Quarter Ended : September, 2013

Partly paid-up shares:-	No. of Partly Paid Shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the Company
a) Held by Promoter / Promoter Group	Not Applicable	Not Applicable	Not Applicable
b) Held by Public	Not Applicable	Not Applicable	Not Applicable
Total			
Outstanding Convertible Securities	No. of Outstanding Securities	As a % of total no. of outstanding convertible debenture	As a percentage of total no. of shares of the Company, assuming full conversion of convertible Debenture
a) Held by Promoter / Promoter Group	Not Applicable	Not Applicable	Not Applicable
b) Held by Public	Not Applicable	Not Applicable	Not Applicable
Total			
Warrants	No. of Warrants	As a % of total no. of warrants	As a percentage of total no. of shares of the Company, assuming full conversion of warrants
a) Held by Promoter / Promoter Group	Not Applicable	Not Applicable	Not Applicable
b) Held by Public	Not Applicable	Not Applicable	Not Applicable
Total			
Total paid-up capital of the Company, assuming full conversion of warrants, convertible securities	9969900		100

# UNIVERSAL ARTS LIMITED

Table (I)(a) Statement of Shareholding Pattern

Quarter ended 30th September, 2013

ANNEXURE - I

Category code	Category of Shareholder	Number of Shareholder	Total Number of Shares	Number of Shares held in Dematerialised Form	Total Shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B)(1)	As a percentage of (A+B+C)	No. of Shares	% with VIII/IV*100
I	II	III	IV	V	VI	VII	VIII	IX
(A)	<b>Shareholding of Promoter and Promoter Group</b>							
(1)	<b>Indian</b>							
(a)	Individual / Hindu Undivided Family	1	100	0	0.00100	0.00100	0	0
(b)	Central Government / State Government(s)	0	0	0	0	0	0	0
(c)	Bodies Corporate	2	300000	0	3.00906	3.00906	0	0
(d)	Financial Institution / Banks	0	0	0	0	0	0	0
(e)	Any Other - Person Acting in Concert	0	0	0	0.00000	0.00000	0	0
	<b>Sub - Total (A) (1)</b>	<b>3</b>	<b>300100</b>	<b>0</b>	<b>3.01006</b>	<b>3.01006</b>	<b>0</b>	<b>0</b>
(2)	<b>Foreign</b>							
(a)	Individuals (Non Resident Individuals / Foreign Individuals)	0	0	0	0	0	0	0
(b)	Bodies Corporate	0	0	0	0	0	0	0
(c)	Institutions	0	0	0	0	0	0	0
(d)	Any Other - Specify	0	0	0	0	0	0	0
	<b>Sub - Total (A) (2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
	<b>Total Shareholding of Promote and Promoter Group(A)=(A)(1)+(A)(2)</b>	<b>3</b>	<b>300100</b>	<b>0</b>	<b>3.01006</b>	<b>3.01006</b>	<b>0</b>	<b>0</b>
(B)	<b>Public Shareholding</b>						N.A.	N.A.
(1)	<b>Institutions</b>						N.A.	N.A.
(a)	Mutual Funds / UTI	0	0	0	0	0		
(b)	Financial Institution / Banks	0	0	0	0	0		
(c)	Central Government / State Government(s)	0	0	0	0	0		
(d)	Venture Capital Funds	0	0	0	0	0		
(e)	Insurance Companies	0	0	0	0	0		
(f)	Foreign Institutional Investors	0	0	0	0	0		
(g)	Foreign Venture Capital Investors	0	0	0	0	0		
(h)	Any other - NRI Banks	0	0	0	0	0		
	<b>Sub - Total (B)(1)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>		
(2)	<b>Non-Institutions</b>						N.A.	N.A.
(a)	Bodies Corporate	141	990253	486953	9.93243	9.93243		
(b)	Individuals							
	i) Individual shareholders holding nominal share capital upto Rs. 1.00 lacs	4411	4377254	4322753	43.90469	43.90469		
	ii) Individual shareholders holding nominal share capital in excess of Rs. 1.00 lakh	101	3974163	3974163	39.86161	39.86161		
(c)	Trust	0	0	0	0	0		
(d)	Any Other							
	i) Clearing Member	1	7100	7100	0.07121	0.07121		
	ii) NRIs	15	321030	321030	3.21999	3.21999		
	iii) OCBs							
	<b>Sub - Total (B)(2)</b>	<b>4669</b>	<b>9669800</b>	<b>9111999</b>	<b>96.98994</b>	<b>96.98994</b>		
	<b>Total Public Shareholding (B)</b>	<b>4669</b>	<b>9669800</b>	<b>9111999</b>	<b>96.98994</b>	<b>96.98994</b>		
	<b>= (B)(1) + (B)(2)</b>						N.A.	N.A.
	<b>TOTAL (A) + (B)</b>	<b>4672</b>	<b>9969900</b>	<b>9111999</b>	<b>100.00000</b>	<b>100.00000</b>		
(C)	<b>Shares held by Custodians and against which Depository Receipts have been issued</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	N.A.	N.A.
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>4672</b>	<b>9969900</b>	<b>9111999</b>	<b>100.00000</b>	<b>100.00000</b>	<b>0</b>	<b>0</b>

Note : Out of 8,30,900 forfeited shares, 800000 shares were reissued to category A(c) and A(e) as shown above which is yet to be listed and the balance 30,900 are forfeited shares

for UNIVERSAL ARTS LIMITED

Manish Shah  
MANAGING DIRECTOR

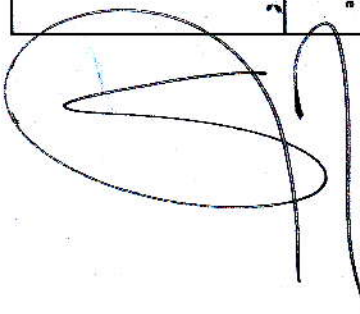
Place : Mumbai  
Date : October 21, 2013



## Statement of holding of securities (including shares, warrants, convertible securities) of person belonging to category "Promoter and Promoter Group

Table (I)(b)

Sr. No.	Name of the Shareholder	Total Shares Held		Encumbered Shares			Details of Warrants		Details of Convertible Securities		Total Shares (including underlying shares assuming full conversion of warrants and convertible securities as a % of diluted share capital
		Number of Shares	As a % of Grand Total A+B+C	Number	As a Percentage	As a % of Grand Total A+B+C of Sub-clause (1)(a)	Number of Warrants Held	As a % of Total No. of Warrants of the same Class	Number of Securities Held	As a % of total no. of Convertible Securities of the same Class	
1	Tabassum V. Govil	100	0.00100	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
2	Luminous Trading Pvt. Ltd	200000	2.00604	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
3	Kartik Trading Pvt. Ltd.	100000	1.00302	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
		300100	3.01006								



**Statement of holding of securities (including shares, warrants, convertible securities) of person belonging to the Category Public and holding more than 1% of the Total Number of shares**

**Table (I)(c)(i)**

Sr. No.	Name of the Shareholder	Total Shares Held		Encumbered Shares			Details of Warrants		Details of Convertible Securities		Total Shares (including underlying shares assuming full conversion of warrants and convertible securities as a % of diluted share capital)
		Number of Shares	As a % of Grand Total A+B+C	Number	As a Percentage	As a % of Grand Total A+B+C of Sub-clause (1)(a)	Number of Warrants Held	As a % of Total No. of Warrants of the same Class	Number of Convertible Securities Held	As a % of total no. of Convertible Securities of the same Class	
1	Mayur Mangaldas Kothari	471119	4.72541	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
2	Anil Gurmukh Bhagwani	268753	2.69564	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
3	Manish Goradia	185073	1.85632	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
4	Sarla Ashok Saraogi	149053	1.49503	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
5	Pista Bai	213044	2.13687	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
6	Atul Marwah	151017	1.51473	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
7	Bharat Shantilal Shah	127850	1.28236	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
8	Birmla Devi Daga	105000	1.05317	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
9	Padmavati N.	242789	2.43522	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
10	Manish Goradia	142971	1.43403	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
11	Vipul Jairaj	182369	1.82920	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
12	D M Kantol Share & Stock Brokers Pvt. Ltd.	93859	0.94142	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		<b>2332897</b>	<b>23.39940</b>								

**more than 5% of the Total Number of shares**

Table (i)(c)(ii)

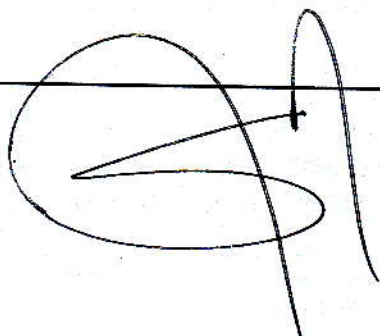
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## Statement of details of Locked in shares

Table (I)(d)

Sr. No.	Name of the Shareholders	Number of Locked in Shares	Locked in shares as a % of total number of shares (ie. Grand Total A+B+C indicated in statement at para (I)(a) above)
Nil		Nil	Nil



## Statement Showing details of Depository Receipts (DRs)

Table (II)(a)

Sr. No.	Type of Outstanding DR (ADRs, GDRs, SDRs etc)	No. of Outstanding DRs	No. of Shares Underlying Outstanding DRs	Share underlying outstanding DRs as a % of total number of shares (ie Grand Total A+B+C indicated in statement at para (I)(a) above

## Statement showing holding of Depository Receipts (DRs), where underlying shares held by Promoter and Promoter Group are in excess of 1% of the total number of shares

Table (II)(b)

Sr. No.	Name of the DR Holders	Type of Outstanding DR (ADRs, GDRs, SDRs etc)	No. of Shares Underlying Outstanding DRs	Share underlying outstanding DRs as a % of total number of shares (ie Grand Total A+B+C indicated in statement at para (I)(a) above